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I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. PS 3339 for a patent by THE AUSTRALIAN NATIONAL UNIVERSITY as filed on 02 July 2002.



WITNESS my hand this Fourteenth day of July 2003

JULIE BILLINGSLEY

TEAM LEADER EXAMINATION

SUPPORT AND SALES

# **AUSTRALIA**

# Patents Act 1990

The Australian National University

# PROVISIONAL SPECIFICATION

Invention Title:

Method of producing plants having enhanced transpiration efficiency and plants produced therefrom  $\it I$ 

The invention is described in the following statement:

#### FIELD OF THE INVENTION

The present invention relates to the field of plant breeding and the production of genetically engineered plants. More specifically, the invention described herein provides genes that are capable of enhancing the transpiration efficiency of a plant when expressed therein. These genes are particularly useful for the production of plants having enhanced transpiration efficiency, by both traditional plant breeding and genetic engineering approaches. The invention further extends to plants produced by the methods described herein.

#### 10 BACKGROUND TO THE INVENTION

#### 1. General

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This specification contains nucleotide and amino acid sequence information prepared using PatentIn Version 3.1, presented herein after the claims. nucleotide sequence is identified in the sequence listing by the numeric indicator <210> followed by the sequence identifier (e.g. <210>1, <210>2, etc). The length 15 and type of sequence (DNA, protein (PRT), etc), and source organism for each nucleotide sequence, are indicated by information provided in the numeric indicator fields <211>, <212> and <213>, respectively. Nucleotide sequences referred to in the specification are defined by the term "SEQ ID NO:", followed by the sequence identifier (eg. SEQ ID NO: 1 refers to the sequence in the sequence listing designated as <400>1).

The designation of nucleotide residues referred to herein are those recommended by the IUPAC-IUB Biochemical Nomenclature Commission, wherein A represents Adenine, C represents Cytosine, G represents Guanine, T represents thymine, Y 25 represents a pyrimidine residue, R represents a purine residue, M represents Adenine or Cytosine, K represents Guanine or Thymine, S represents Guanine or Cytosine, W represents Adenine or Thymine, H represents a nucleotide other than Guanine, B represents a nucleotide other than Adenine, V represents a nucleotide other than Thymine, D represents a nucleotide other than Cytosine and N represents 30 any nucleotide residue.

As used herein the term "derived from" shall be taken to indicate that a specified integer is obtained from a particular source albeit not necessarily directly from that source.

Throughout this specification, unless the context requires otherwise, the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated step or element or integer or group of steps or elements or integers but not the exclusion of any other step or element or integer or group of elements or integers.

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Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. It is to be understood that the invention includes all such variations and modifications. The invention also includes all of the steps, features, compositions and compounds referred to or indicated in this specification, individually or collectively, and any and all combinations or any two or more of said steps or features.

The present invention is not to be limited in scope by the specific embodiments described herein, which are intended for the purposes of exemplification only.

Functionally equivalent products, compositions and methods are clearly within the scope of the invention, as described herein.

## 2. Description of the related art

It is well known that virtually all plants require a certain quantity of water for proper growth and development, because CO<sub>2</sub> fixation and photosynthate assimilation by plants cost water. A significant quantity of water absorbed by plants from the soil returns to the atmosphere *via* plant transpiration.

Transpiration efficiency is a measure of the amount of dry matter produced by a plant per unit of water transpired, or, in other words, carbon gain relative to water lost through transpiration.

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For plants having low transpiration efficiency, or when water is in short supply, the loss of water through transpiration can limit key metabolic processes associated with

plant growth and development. For example, during drought, or when plants having low transpiration efficiency are grown in arid and semi-arid environments, plant productivity as determined by dry matter production or photosynthetic rate, is considerably reduced. Accordingly, the production of plants having enhanced water use efficiency or transpiration efficiency is highly desirable for their adaptation to arid or semi-arid conditions, or to enhance their drought resistance.

The enhancement of water use efficiency or transpiration efficiency by plants is also highly desirable in consideration of global climatic change and increasing pressure on world water resources. The inefficient utilization of agricultural water is known to impact adversely upon the supply of navigable water, potable water, and water for industrial or recreational use. Accordingly, the production of plants having enhanced transpiration efficiency is highly desirable for reducing the pressure on these water resources. It is also desirable for increasing plant productivity under well-watered conditions.

By enhancing transpiration efficiency, carbon gain rates are enhanced per unit of water transpired, thereby stimulating plant growth under well-watered conditions, or alternatively, under mild or severe drought conditions. This is achieved by enhancing carbon gain more than transpiration rate, or by reducing the amount of water lost at any particular rate of carbon fixation. Those skilled in the art also consider that for a given growth rate plants having enhanced transpiration efficiency dry out soils more slowly, and use less water, than less efficient near-isogenic plants.

Several chemical as well as environmental pre-treatments have been described for enhancing the ability of plant seedlings to survive drought, either by reducing transpiration or by reducing the amount of water that is actually lost to the atmosphere.

Known environmental treatments largely involve the use of physical barriers. Whilst placing a physical barrier over plant stomata is known to reduce water loss via transpiration, the procedure is not always desirable or practicable for field-grown crops. For example, physical barriers over plant stomata may inhibit certain gas-exchange processes of the plant. It is more desirable to enhance actual transpiration

efficiency or water use efficiency of the plant through manipulation of intrinsic plant function.

Chemical agents are typically the so-called "anti-transpirant" or "anti-desiccant" agents, both of which are applied to the leaves. Anti-transpirants are typically films or metabolic anti-transpirants.

These products form a film on leaves, thereby either blocking stomatal pores, or coating leaf epidermal cells with a water-proof film. Typical film anti-transpirants include waxes, wax-oil emulsions, higher alcohols, silicones, plastics, latexes and resins. For example, Elmore, United States Patent No. 4,645,682 disclosed an anti-transpirant consisting of an aqueous paste wax; Cushman et al., United States Patent Nos. 3,791,839 and 3,847,641 also disclosed wax emulsions for controlling transpiration in plants; and Petrucco et al., United States Patent No. 3,826,671, disclosed a polymer composition said to be effective for controlling transpiration in plants.

Metabolic anti-transpirants generally close stomata, thereby reducing the rate of transpiration. Typical metabolic anti-transpirants include succinic acids, phenylmercuric acetate, hydroxysulfonates, the herbicide atrazine, sodium azide, and phenylhydrazones, as well as carbon cyanide.

Compounds having plant growth regulator activity have also been shown to be useful for reducing transpiration. For example, Bliesner et al., United States Patent 25 No. 4,671,816, disclosed an acetylene compound, said to possess utility for regulating plant growth, whilst Kuznetsov et al. (Russian Patent No. SU 1,282,492; ... Russian Patent Application No. SU 1,253,559-A1), and Smirnov et al (Russian Patent No. SU 1,098,934) disclosed the use of derivatives of 2-methyl-5hydroxybenzimidazole, and the chloride or bromide salts thereof, as anti-transpirant growth regulators. Vichnevetskaia (USSN 5,589,437 issued December 31, 1996) also describe hydroxybenzimidazole derivatives for enhancing the drought resistance of plants by reducing transpiration, however have the advantage of being applicable to plant seed or roots. Schulz et al., United States Patent No. 4,943,315, also disclosed formulations comprising an acetylene and a phenylbenzylurea compound, for

reducing transpiration in plants and/or for avoiding impairment to plants caused by heat and dry conditions. Abscisic acid has also been shown to reduce or suppress transpiration in plants (eg. Helv. Chim. Acta, 71, 931, 1988; J. Org. Chem., 54, 681, 1989; and Japanese Patent Publication No. 184,966/1991).

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Metabolic anti-transpirants are costly to produce and often exhibit phytotoxic effects or inhibit plant growth Kozlowski (1979), In: Tree Growth and Environmental Stresses (Univ. of Washington Press, Seattle and London), and are not practically used.

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Recent studies have examined alternative methods for enhancing transpiration efficiency, particularly breeding approaches to select lines that grow more efficiently under mild drought conditions. Carbon isotope discrimination has been used to identify Arabidopsis ecotypes with contrasted transpiration efficiencies (Masle et 15 al., In: Stable isotopes and plant carbon-water relations, Acad. Press, Physiol. Ser., pp371-386, 1993) and to assist conventional breeding of new plant varieties in a number of species (Hall et al., Plant Breeding Reviews 4, 81-113, 1994) including rice (Farquhar et al., In: Breaking the Yield Barrier, ed KG Cassman, IRRI, 95, 101) and most recently wheat (Rebetzke et al. Crop Science 42:739-745, 2002).

20 No single gene has been identified as being capable of enhancing transpiration efficiency when expressed in planta. Transpiration efficiency may well be multigenic. As a consequence, the genes and signalling pathways that regulate the photosynthetic and/or stomatal components of the transpiration efficiency mechanism in plants have not been identified or characterized.

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Moreover, notwithstanding that the effect of down-regulating expression of the Rubisco gene, or mutation in genes involved in abscisic acid (eg. aba, abi), are known to modify transpiration efficiency to some extent through stomatal closure, the consequence of such modifications is not totally specific, resulting in pleiotropic effects.

Arabidopsis thaliana ecotype Landsberg erecta (L-er) is one of the most popular ecotypes and is used widely for both molecular and genetic studies. It harbors the erecta (er) mutation, which confers a compact inflorescence, blunt fruits, and short petioles. There are a number of er mutant alleles. Phenotypic characterization of the mutant alleles suggests a role for the wild type ER gene in regulating plant morphogenesis, particularly the shapes of organs that originate from the shoot apical meristem. Torii et al., The Plant Cell 8, 735, 1996, showed that the ER gene encodes a putative receptor protein kinase comprising a cytoplasmic protein kinase catalytic domain, a transmembrane region, and an extracellular domain consisting of leucine-rich repeats, which are thought to interact with other macromolecules.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1a is a graphical representation showing the CO<sub>2</sub> assimilation rates (μmol C m<sup>2</sup> s<sup>-1</sup>) of several genotypes of A. thaliana. The genotypes of plants are indicated on the x-axis, and CO<sub>2</sub> assimilation rates indicated on the ordinate. Col indicates a genetic background of the ecotype Columbia. Ld indicates a genetic background of the ecotype Landsberg. Plants expressing wild type ERECTA alleles were either in a Col (Col4-ER) or Ld (Ld-ER) background. Plants that were homozygous for a mutant er allele were either in a Col background (Col-er105 or Col-er2) or a Ld background (Ld-er1). Plants designated as F1 (Col-ER x Ld-er) were heterozygous ER/er1. Data indicate that, in a Col background, the er105 mutation leads to reduced CO<sub>2</sub> assimilation rate, whilst the er1 mutation enhances CO<sub>2</sub> assimilation rate in a Ld background.

Figure 1b is a graphical representation showing the stomatal conductance (mol  $H_20$  m<sup>2</sup> s<sup>-1</sup>) of several genotypes of A. thaliana. The genotypes of plants are indicated on the x-axis and are the same as described in the legend to Figure 1a. Stomatal conductances are indicated on the ordinate. Data indicate that, in a Col background, the er2 mutation significantly enhances stomatal conductance, whilst the er1 mutation significantly enhances stomatal conductance in a Ld background.

Figure 1c is a graphical representation showing the transpiration efficiency of (mmol C mol H<sub>2</sub>0<sup>-1</sup>) of several genotypes of A. thaliana, as determined by the ratio of CO<sub>2</sub> assimilation rate to stomatal conductance. The genotypes of plants are indicated on the x-axis and are the same as described in the legend to Figure 1a. Transpiration efficiency is indicated on the ordinate. Data indicate that transpiration efficiency is enhanced in plants expressing a wild type ER allele relative to a mutant er allele, in

both Ld and Col backgrounds. The lowest transpiration efficiency was observed for plants that are homozygous for the er105 allele (ie. Col-er105), consistent with the fact that this allele disrupts ERECTA expression. From the data in Figures 1a-1c, it is apparent that the lower transpiration efficiency of plants expressing the er105 allele is largely due to a reduced CO<sub>2</sub> fixation rate, whereas for both the er2 and er1 alleles, reduced transpiration efficiency is largely due to enhanced stomatal conductance. The transpiration efficiency of the F1 heterozygote plant was intermediate between the transpiration efficiencies of its parents, suggesting codominance of these alleles. The F1, however, had a transpiration efficiency closer to that of the pollen donor parent, Ld-er1.

Figure 2a is a graphical representation showing the stomatal densities (Number of stomata mm<sup>-2</sup> leaf) for several genotypes of A. thaliana in three independent experiments. The genetic backgrounds of plants are indicated on the x-axis (Col, Columbia; Ld, Landsberg), and stomatal densities are indicated on the ordinate. Plant genotypes are indicated at the top of each bar, as follows: plants expressing wild type ERECTA alleles in a Col background were Col4ER or Col1ER (hatched bars); plants expressing wild type ERECTA alleles in a Ld background were ER (open bars); plants expressing mutant erecta alleles in a Col background were either er105 or er2 (stippled boxes); and plants expressing the mutant er1 allele in a Ld background were er1 (filled boxes). Columns designated a,b are data from two experiments where plants were grown in soil in the absence of fertiliser. The set of columns at the right of the figure are from a third experiment where the same plants were grown in soil comprising fertiliser. Data indicate that, in a Col background, the er105 mutation and er2 mutation enhances stomatal density, which in part accounts for the enhanced stomatal conductances and reduced transpiration efficiencies of plants expressing these alleles (Figures 1b and 1c). The general effects of these alleles is not dependent on the nutrient status of the soil. In contrast, the er1 allele enhanced stomatal density of Ld plants in only one case when fertiliser was absent, suggesting that enhanced stomatal aperture may account for the enhanced stomatal conductances and reduced transpiration efficiencies of Ld-er1 plants (Figures 1b, 1c).

Figure 2b is a graphical representation showing the epidermal cell size (surface area, μm²) for several genotypes of A. thaliana in three independent experiments. The genetic backgrounds and genotypes of plants are indicated on the x-axis and at the tops of each column, respectively, as in the legend to Figure 2a. The ordinate Columns designated a,b are data from two indicates epidermal cell size. experiments where plants were grown in soil in the absence of fertiliser. The set of columns at the right of the figure are from a third experiment where the same plants were grown in soil comprising fertiliser. Data indicate that, in a Col background, the er105 mutation and er2 mutation significantly reduce epidermal cell size ie increase 10 the number of epidermal cells per unit leaf area. This reveals that the ER gene has effects on leaf histogenesis which, beyond their consequences on stomatal densities, may also directly affect leaf capacity for photosynthesis and therefore transpiration efficiency, (Figures 1b and 1c). The general effects of these alleles is not dependent on the nutrient status of the soil. In contrast, the er1 allele reduced epidermal cell size of Ld plants in only one case when fertiliser was absent, suggesting that enhanced stomatal aperture accounts for the enhanced stomatal conductances and reduced transpiration efficiencies of Ld-er1 plants (Figures 1b, 1c).

Figure 2c is a graphical representation showing the stomatal index for several genotypes of A. thaliana in three independent experiments. The genetic backgrounds and genotypes of plants are indicated on the x-axis and at the tops of each column, respectively, as in the legend to Figure 2a. The ordinate indicates stomatal index, as determined from the ratio of stomatal density to epidermal cell density. Columns designated a,b are data from two experiments where plants were grown in soil in the absence of fertiliser. The set of columns at the right of the figure are from a third experiment where the same plants were grown in soil comprising fertiliser. Data indicate that the er mutations tested do not significantly modify stomatal index, because increases in stomatal density are correlated to increases in epidermal cell numbers in the mutant plants. Accordingly, the ER gene does not appear to directly modify stomatal development per se.

### SUMMARY OF THE INVENTION

In work leading up to the present invention, the inventors sought to elucidate the specific genetic determinants of plant transpiration efficiency. In plants, the

development of molecular genetic markers, such as, for example, genetic markers that map to a region of the genome of a crop plant, such as, for example, a region of the rice genome, maize genome, barley genome, sorghum genome, or wheat genome, or a region of the tomato genome or of any Brassicaceae ,assists in the production of plants having enhanced transpiration efficiency (Edwards et al., Genetics 116, 113 - 125, 1987; Paterson et al., Nature 335, 721-726, 1988).

The present inventors identified a locus that is linked to the genetic variation in transpiration efficiency in plants. To elucidate a locus associated with the 10 transpiration efficiency of plants, the inventors established experimental conditions and sampling procedures to determine the contribution to total transpiration efficiency of the factors influencing this phenotype, and, more particularly, the genetic contribution to the total variation in transpiration efficiency. influencing transpiration efficiency include, for example, genotype of the plant, environment (eg. temperature, light, humidity, boundary layer around the leaves, root growth conditions), development (eg. age and/or stage and/or posture of plants that modifies gas exchange and/or carbon metabolism), and seed-specific factors (Masle et al. 1993, op. cit). The screens developed by the inventors were also used to survey mutant and wild type populations for variations in transpiration efficiency and to identify ecotypes having contrasting transpiration efficiencies including the parental lines that had been used by Lister and Dean (1993). The transpiration efficiencies of the members of Lister and Dean's (1993) Recombinant Inbred Line mapping population were then determined, and linkage analyses were performed against genetic markers to determine the chromosome regions that are linked to genetic variation in transpiration efficiency, thereby identifying a locus conditioning transpiration efficiency.

In the exemplified embodiment of the invention, there is provided a locus associated with transpiration efficiency of A. thaliana, said locus defined as the ERECTA locus on A. thaliana chromosome 2. The present invention clearly extends to homologs of the A. thaliana ERECTA locus from other plant species, identified using the methods described herein.

Accordingly, one aspect of the invention provides a locus associated with the genetic variation in transpiration efficiency of a plant, wherein said locus comprises a nucleotide sequence linked genetically to the A. thaliana ERECTA locus.

As used herein, the terms "genetically linked" and "map to" shall be taken to refer to a sufficient genetic proximity between a linked nucleic acid comprising a gene, allele, marker or other nucleotide sequence and nucleic acid comprising all or part of the A. thaliana ERECTA locus or all or part of a homolog of the A. thaliana ERECTA locus from another plant species, to permit said linked nucleic acid to be useful for determining the presence of a particular allele of said A. thaliana ERECTA locus or said homolog. Those skilled in the art will be aware that for such linked nucleic acid to be used in this manner, it must be sufficiently close to said locus not to be in linkage disequilibrium or to have a high recombination frequency between said linked nucleic acid and said locus. Preferably, the linked nucleic acid and the locus are less than about 25cM apart, more preferably less than about 10cM apart, even more preferably less than about 5cM apart, still even more preferably less than about 3cM apart and still even more preferably less than about 1cM apart.

Preferably, all or part of the locus of the invention is provided as recombinant or isolated nucleic acid, such as, for example, in the form of a gene construct (eg. a recombinant plasmid or cosmid), to facilitate germplasm screening.

The ERECTA locus or a gene that is linked to the ERECTA locus is particularly useful in a breeding program, to predict the transpiration efficiency of a plant, or alternatively, as a selective breeding marker to select plants having enhanced transpiration efficiency. Once mapped, marker-assisted selection (MAS) is used to introduce the ERECTA locus or markers linked thereto into a wide variety of populations. MAS has the advantage of reducing the breeding population size required, and the need for continuous recurrent testing of progeny, and the time required to develop a superior line.

Accordingly, a second aspect of the invention provides a method of selecting a plant having enhanced transpiration efficiency, comprising:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant; and
- (b) selecting a plant that comprises or expresses a gene that maps to the locus.
- Preferably, this aspect of the invention provides a method of selecting a plant having enhanced transpiration efficiency, comprising:
  - (a) identifying a locus on the Arabidopsis chromosome 2 (46-50.7 cM) associated with genetic variation in transpiration efficiency in a plant; and
    - (b) selecting a plant that comprises or expresses a gene that maps to the locus.

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An alternative embodiment provides a method of selecting a plant having enhanced transpiration efficiency, comprising selecting a plant that comprises or expresses a functionally equivalent homolog of a protein-encoding region of the *ERECTA* gene of *A. thaliana*.

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As exemplified herein, the inventors also identified specific genes or alleles that are linked to the *ERECTA* locus and determine the transpiration efficiency of a plant. More particularly, the transpiration efficiencies of near-isogenic lines, wherein each line carries a mutation within the *ERECTA* locus were determined, thereby providing the genetic contribution of genes or alleles at the *ERECTA* locus to transpiration efficiency. This analysis allowed the inventors to assess the genetic contribution of particular alleles to transpiration efficiency, thereby determining allelic variants that are linked to a particular transpiration efficiency. Thus, the elucidation of the *ERECTA* locus for transpiration efficiency in plants facilitated the fine mapping and determination of allelic variants that modulate transpiration efficiency.

Accordingly, a third aspect of the invention provides a method of identifying a gene that determines the transpiration efficiency of a plant comprising:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant;
- (b) identifying a gene or allele that is linked to said locus, wherein said gene or allele is a candidate gene or allele for determining the transpiration efficiency of a plant; and

(c) determining the transpiration efficiencies of a panel of plants, wherein not all members of said panel comprise said gene or allele, and wherein variation in transpiration efficiency between the members of said panel indicates that said gene is involved in determining transpiration efficiency.

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In another embodiment, the method comprises:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant;
- (b) identifying multiple alleles of a gene that is linked to said locus, wherein said
   gene is a candidate gene involved for determining the transpiration efficiency of a plant; and
  - (c) determining the transpiration efficiencies of a panel of plants, wherein each member of said panel comprises at least one of said multiple alleles, wherein variation in transpiration efficiency between the members of said panel indicates that said gene is involved in determining transpiration efficiency.

Preferably, the identified gene or allele identified by the method described in the preceding paragraph is an *ERECTA* allele, an *erecta* allele, a homolog of *ERECTA* allele, or a homolog of *erecta* allele, wherein said homolog is from a plant species other than A. thaliana.

The identified gene or allele, including any homologs from a plant other than A. thaliana, such as, for example, the wild-type ERECTA allele or a homolog thereof, is useful for the production of novel plants. Such plants are produced, for example, using recombinant techniques, or traditional plant breeding approaches such as introgression.

Accordingly, a still further aspect of the present invention provides a method of enhancing the transpiration efficiency of a plant comprising ectopically expressing in a plant an isolated gene comprising a nucleotide sequence that is homologous to a protein-encoding region of a gene of A. thaliana that maps to the ERECTA locus on chromosome 2. A related embodiment of the invention provides a method of enhancing the transpiration efficiency of a plant comprising introgressing into said plant a nucleic acid comprising a nucleotide sequence that is homologous to a

protein-encoding region of a gene of A. thaliana that maps to the ERECTA locus on chromosome 2.

A further aspect of the invention provides for the use of an isolated gene comprising a nucleotide sequence that is homologous to a protein-encoding region of a gene of A. thaliana that maps to the ERECTA locus on chromosome 2 in the preparation of a gene construct for enhancing the transpiration efficiency of a plant.

A fifth aspect of the present invention provides a plant having enhanced transpiration efficiency, wherein said plant is produced by a method described herein.

#### DETAILED DESCRIPTION OF THE INVENTION

Loci for transpiration efficiency and their identification

One aspect of the invention provides a locus associated with the genetic variation in transpiration efficiency of a plant, wherein said locus comprises a nucleotide sequence linked genetically to the *ERECTA* locus on chromosome 2 or a homolog thereof.

As used herein, the term "locus" shall be taken to mean the location of one or more genes in the genome of a plant that affects a quantitative characteristic of the plant, in particular the transpiration efficiency of a plant. In the present context, a "quantitative characteristic" is a phenotype of the plant for which the phenotypic variation among different genotypes is continuous and cannot be separated into discrete classes, irrespective of the number of genes that determine or control the phenotype, or the magnitude of genetic effects that single gene has in determining the phenotype, or the magnitude of genetic effects of interacting genes.

By "associated with the genetic variation in transpiration efficiency of a plant"
30 means that a locus comprises one or more genes that are expressed to determine or
regulate the transpiration efficiency of a plant, irrespective of the actual rate of
transpiration achieved by the plant under a specified environmental condition.

The present invention clearly contemplates the presence of multiple genes that are genetically linked or map to the specified *ERECTA* locus on chromosome 2. Without being bound by any theory or mode of action, such multiple linked genes may interact, such as, for example, by epistatic interaction, to determine the transpiration efficiency phenotype.

The present invention also contemplates the presence of different alleles of any gene that is linked to the *ERECTA* locus, wherein said allele is expressed to determine the transpiration efficiency phenotype. In one embodiment, such alleles are identified by detecting a particular transpiration efficiency phenotype that is linked to the expression of the particular allele. Alternatively, or in addition, the different alleles linked to a locus are identified by detecting a structural polymorphism in DNA (eg. a restriction fragment length polymorphism (RFLP), amplified fragment length polymorphism (AFLP), single strand chain polymorphism (SSCP), and the like), that is linked to a particular transpiration efficiency phenotype.

The present invention clearly encompasses all interacting genes and/or alleles that are genetically linked to an *ERECTA* locus and are expressed to determine a transpiration efficiency phenotype. Such linked interacting genes and/or alleles will map to a region of the genome of a plant that is homologous to a region of the *Arabidopsis thaliana* genome that is associated with the transpiration efficiency of that plant and maps to the *ERECTA* locus. Preferably, such interacting genes and/or alleles comprise a protein-encoding portion of a gene of *A. thaliana* positioned within the *ERECTA* locus of the *Arabidopsis thaliana* genome that is associated with the transpiration efficiency of that plant, or a homologous protein-encoding region from another plant species.

The terms "region of the Arabidopsis thaliana genome that is associated with the transpiration efficiency", and "locus of A. thaliana that determines the transpiration of efficiency" shall be taken to mean that portion of chromosome 2 of A. thaliana associated with transpiration efficiency, preferably the region from about 46cM to about 50.7 cM.

Even more preferably, the locus of the invention is linked to or comprises the *ERECTA* allele or the *erecta* alleles, or a protein-encoding portion thereof or a homologous gene from another plant species.

5 As used herein, the term "ERECTA" shall be taken to refer to a wild type A. thaliana ERECTA allele or a homolog thereof from another plant species.

For the purposes of nomenclature, the nucleotide sequence of the *Arabidopsis* thaliana ERECTA protein-encoding region, including 5'-untranslated region (UTR) and 3'-UTR, is provided herein as SEQ ID NO: 1. The amino acid sequence of the polypeptide encoded by SEQ ID NO: 1 is set forth herein as SEQ ID NO: 2.

The term "erecta" shall be taken to mean any allelic variant of the wild-type ERECTA allele that modifies transpiration efficiency of A. thaliana or a homolog thereof from another plant species. Preferred erecta alleles contemplated herein include an A. thaliana erecta allele selected from the group consisting of: er, er1, er2 allele, er101 allele, er102 allele, er103 allele, er104 allele, er105 allele, er2 allele, er108 allele, er109 allele, er110 allele, er111 allele, er112 allele, er113 allele, er114 allele. er115 allele, er116 allele, er117 allele, er118 allele, er119 allele, er120 allele, er121 allele, er122 allele, er123 allele (Lease et al. New Phytologist 151, 133-143, 2001) and a homolog of any one of said alleles.

Those skilled in the art are aware that the terms "homolog" and "ortholog" refer to functional equivalent units. In the present context, a homolog or ortholog of a gene that maps to the ERECTA locus shall be taken to mean any gene from a plant species other than A. thaliana that is functionally equivalent to a gene that maps to the exemplified A. thaliana ERECTA locus, and comprises a protein-encoding region in its native plant genome that shares a degree of structural identity or similarity with a protein-encoding region that is linked to the A. thaliana ERECTA locus.

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Preferably, a homologous or orthologous gene from a plant other than A. thaliana will be associated with the transpiration efficiency of said plant and be linked to a protein-encoding region in its native plant genome that comprises a nucleotide sequence having at least about 55% overall sequence identity to a protein-encoding

region linked to the ERECTA locus. Even more preferably, the percentage identity will be at least about 59-61% or 70% or 80%, still more preferably at least about 90%, and even still more preferably at least about 95%.

In determining whether or not two nucleotide sequences fall within a particular percentage identity limitation recited herein, those skilled in the art will be aware that it is necessary to conduct a side-by-side comparison or multiple alignment of In such comparisons or alignments, differences may arise in the positioning of non-identical residues, depending upon the algorithm used to perform the alignment. In the present context, reference to a percentage identity between two or more nucleotide sequences shall be taken to refer to the number of identical residues between said sequences as determined using any standard algorithm known to those skilled in the art. For example, nucleotide sequences may be aligned and their identity calculated using the BESTFIT program or other appropriate program of the Computer Genetics Group, Inc., University Research Park, Madison, Wisconsin, United States of America (Devereaux et al, Nucl. Acids Res. 12, 387-395, 1984).

Alternatively, or in addition, a homologous or orthologous gene from a plant other than A. thaliana will be associated with the transpiration efficiency of said plant and 20 be linked to a protein-encoding region in its native plant genome that comprises a nucleotide sequence that encodes a polypeptide having at least about 55% overall sequence identity to a polypeptide encoded by a protein-encoding region linked to the ERECTA locus. Preferably, the percentage identity at the amino acid level will be at least about 59-61% or 70% or 80%, more preferably at least about 90%, and still more preferably at least about 95%.

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In determining whether or not two amino acid sequences fall within these percentage limits, those skilled in the art will be aware that it is necessary to conduct a side-by-side comparison or multiple alignment of sequences. In such comparisons or alignments, differences will arise in the positioning of non-identical residues, depending upon the algorithm used to perform the alignment. In the present context, reference to a percentage identity or similarity between two or more amino acid sequences shall be taken to refer to the number of identical and similar residues respectively, between said sequences as determined using any standard algorithm

known to those skilled in the art. For example, amino acid sequence identities or similarities may be calculated using the GAP program and/or aligned using the PILEUP program of the Computer Genetics Group, Inc., University Research Park, Madison, Wisconsin, United States of America (Devereaux et al, 1984, supra). The GAP program utilizes the algorithm of Needleman and Wunsch, J. Mol. Biol. 48, 443-453, 1970, to maximize the number of identical/similar residues and to minimize the number and length of sequence gaps in the alignment. Alternatively or in addition, wherein more than two amino acid sequences are being compared, the ClustalW program of Thompson et al., Nucl. Acids Res. 22, 4673-4680, 1994, is used.

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Alternatively, or in addition, a homologous or orthologous gene from a plant other than A. thaliana will be associated with the transpiration efficiency of said plant and be linked to a protein-encoding region in its native plant genome that hybridizes to nucleic acid that comprises a sequence complementary to a protein-encoding region linked to the A. thaliana ERECTA locus. Preferably, such homologs or orthologs will be identified by hybridization under at least low stringency conditions, and more preferably under at least moderate stringency or high stringency hybridization conditions.

20 For the purposes of defining the level of stringency, a low stringency is defined herein as being a hybridization or a wash carried out in 6xSSC buffer, 0.1% (w/v) SDS at 28°C or alternatively, as exemplified herein. Generally, the stringency is increased by reducing the concentration of salt in the hybridization or wash buffer, such as, for example, by reducing the concentration of SSC. Alternatively, or in addition, the stringency is increased, by increasing the concentration of detergent (eg. SDS). Alternatively, or in addition, the stringency is increased, by increasing the temperature of the hybridization or wash. For example, a moderate stringency can be performed using 0.2xSSC to 2xSSC buffer, 0.1% (w/v) SDS, at a temperature of about 42°C to about 65°C. Similarly, a high stringency can be performed using 0.1xSSC to 0.2xSSC buffer, 0.1% (w/v) SDS, at a temperature of at least 55°C. Conditions for performing nucleic acid hybridization reactions, and subsequent membrane washing, are well understood by one normally skilled in the art. For the purposes of further clarification only, reference to the parameters affecting hybridization between nucleic acid molecules is found in Ausubel et al., In: Current

Protocols in Molecular Biology, Greene/Wiley, New York USA, 1992, which is herein incorporated by reference.

Preferably, the homologous gene is derived from, or present in, the genome of a plant that is desiccation or drought intolerant, or poorly adapted for growth in dry or arid environments, or that suffers from reduced vigor or growth during periods of reduced rainfall or drought, or from the genome of a plant with increased growth rate or growth duration or partitioning of C to shoot and harvested parts under well-watered conditions.

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More preferably, the homologous gene is derived from, or present in, the genome of a brassica plant, broad acre crop plant, perennial grass (eg. of the subfamily Pooidaea, or the Tribe Poeae), or tree. Even more preferably, the homologous locus is in the genome of a plant selected from the group consisting of barley, wheat, rye, sorghum, rice, maize, *Phalaris aquatica*, *Dactylus glomerata*, *Lolium perenne*, *Festuca arundinacea*, cotton, tomato, soybean, oilseed rape, poplar, and pine.

A particularly preferred homolog of a gene that maps to the exemplified Arabidopsis thaliana ERECTA locus is derived from chromosome 6 of rice (Oryza sativa), and, 20 more preferably is linked to an ERECTA gene derived from rice. For the purposes of nomenclature, the protein-encoding region of the rice ERECTA gene is provided herein as SEQ ID NO: 3. The amino acid sequence of the polypeptide encoded by SEQ ID NO: 3 is set forth herein as SEQ ID NO: 4.

Another particularly preferred homolog of a gene that maps to the exemplified Arabidopsis thaliana ERECTA locus is derived from the genome of Sorghum bicolor, and, more preferably is linked to an ERECTA gene derived from sorghum. For the purposes of nomenclature, the protein-encoding region of the sorghum ERECTA gene is provided herein as SEQ ID NO: 5. The amino acid sequence of the polypeptide encoded by SEQ ID NO: 5 is set forth herein as SEQ ID NO: 6.

Another particularly preferred homolog of a gene that maps to the exemplified Arabidopsis thaliana ERECTA locus is derived from the genome of A. thaliana, and, more preferably is linked to an ERECTA gene derived from A. thaliana. For the

purposes of nomenclature, the protein-encoding region of the *A. thaliana ERECTA* homologue is provided herein as SEQ ID NO: 7. The amino acid sequence of the polypeptide encoded by SEQ ID NO: 7 is set forth herein as SEQ ID NO: 8.

5 Another particularly preferred homolog of an *ERECTA* gene is provided herein as SEQ ID NO: 9. The amino acid sequence of the polypeptide encoded by SEQ ID NO: 9 is set forth herein as SEQ ID NO: 10.

A number of mapping methods for determining useful loci and estimating their 10 effects have been described (eg. Edwards et al., Genetics 116, 113-125, 1987; Haley and Knott, Heredity 69, 315-324, 1992; Jiang and Zeng, Genetics 140, 1111-1127, 1995; Lander and Botstein, Genetics 121, 185-199, 1989; Jansen and Stam, Genetics 136, 1447-1455, 1994; Utz and Melchinger, In: Biometrics in Plant Breeding: Applications of Molecular Markers. Proc. Ninth Meeting of the EUCARPIA Section 15 Biometrics in Plant Breeding, 6 - 8 July 1994, Wageningen, The Netherlands, (J.W. van Ooijen and J. Jansen, eds), pp195-204, 1994; Zeng, Genetics 136, 1457-1468, 1994). In the present context, these methods are applied to identify the major component(s)of the total genetic variance that contribute(s) to the variation in transpiration efficiency of a plant, such as, for example, determined by the 20 measurement of carbon isotope discrimination ( $\Delta$ ). More particularly, the segregation of known markers is used to map and/or characterize an underlying locus associated with transpiration efficiency. The locus method involves searching for associations between the segregating molecular markers and transpiration efficiency in a segregating population of plants, to identify the linkage of the marker 25 to the locus.

To discover a marker/locus linkage, a segregating population is required. Experimental populations, such as, for example, an F2 generation, a backcross (BC) population, recombinant inbred line (RIL), or double haploid line (DHL), can be used as a mapping population. Bulk segregant analysis, for the rapid detection of markers at specific genomic regions using segregating populations, is described by Michelmoore et al., Proc. Natl Acad. Sci. (USA) 88, 9828-9832, 1991. In the case of F2 mapping populations, F2 plants are used to determine genotype, and F2 families to determine phenotype. Recombinant inbred lines are produced by single-seed

descent. Recombinant inbred lines, such as, for example, the F9 RILs of A. thaliana (eg. Lister and Dean, Plant J., 4, 745-750, 1993) will be known to those skilled in the art. Near isogenic lines (NILs) are used for fine mapping, and to determine the effect of a particular locus on transpiration efficiency. An advantage of recombinant inbred lines and double haploid lines is that they are permanent populations, and as a consequence, provide for replication of the contribution of a particular locus to the transpiration efficiency phenotype.

As for statistical methods, Single Marker Analysis (Point Analysis) is used to detect a locus in the vicinity of a single genetic marker. The mean transpiration efficiencies of a population of plants segregating for a particular marker, are compared according to the marker class. The difference between two mean transpiration efficiencies provides an estimate of the phenotypic effect of substituting one allele for another allele at the locus. To determine whether or not the inferred phenotypic effect is significantly different from zero, a simple statistical test, such as t-test or F-test, is used. A significant value indicates that a locus is located in the vicinity of the marker. Single point analysis does not require a complete molecular linkage map. The further locus is from the marker, the less likely it is to be detected statistically, as a consequence of recombination between the marker and the gene.

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In the Anova, t-test or GLM approach, the association between marker genotype and transpiration efficiency phenotype comprises:

- classifying progeny of a segregating population of plants by marker genotype, such as for example, using RFLP, AFLP, SSCP, or microsatellite analyses, thereby establishing classes of plants;
- (ii) comparing the mean transpiration efficiencies of classes of plants in the segregating population, using a t-test, GLM or ANOVA; and
- (iii) determining the significance of the differences in the mean at (ii), wherein a significant difference indicates that the marker is linked to the locus for transpiration efficiency.

As will be known to those skilled in the art, the difference between the means of the classes provides an estimate of the effect of the locus in determining the transpiration efficiency of a class.

In the regression approach, the association between marker genotype and phenotype is determined by a process comprising:

- (i) assigning numeric codes to marker genotypes; and
- determining the regression value r for transpiration efficiency against the codes, wherein a significant value for r indicates that the marker is linked to the locus for transpiration efficiency, and wherein the regression slope estimate of the effect of a particular locus on transpiration efficiency.
- 10 For QTL interval mapping, the Mapmaker algorithm developed by Lincoln et al., Constructing genetic linkage maps with MAPMAKER/EXP version 3.0: A tutorial and reference manual. Whitehead Institute for Biomedical Research, Cambridge, MA, USA, 1993, can be used. The principle behind interval mapping is to test a model for the presence of a QTLat many positions between two mapped marker loci. This model is a fit of a presumptive QTL to transpiration efficiency, wherein the 15 suitability of the fit is tested by determining the maximum likelihood that a QTL for transpiration efficiency lies between two segregating markers. For example, in the case of a QTL located between two segregating markers, the 2-loci marker genotypes of segregating progeny will each contain mixtures of QTL genotypes. Accordingly, it 20 is possible to search for loci parameters that best approximate the distribution in transpiration efficiency for each marker class. Models are evaluated by computing the likelihood of the observed distributions with and without fitting a QTL effect. The map position of a QTL is determined as the maximum likelihood from the distribution of likelihood values (LOD scores: ratio of likelihood that the effect occurs by linkage: likelihood that the effect occurs by chance), calculated for each locus.

Interval mapping by regression (Haley and Knott., Heredity 69, 315-324, 1992) is a simplification of the maximum likelihood method supra wherein basic QTL analysis or regression on coded marker genotypes is performed, except that phenotypes are regressed on the probability of a QTL genotype as determined from the linkage between transpiration efficiency and the nearest flanking markers. In most cases, regression mapping gives estimates of QTL position and effect that are almost

identical to those given by the maximum likelihood method. The approximation deviates only at places where there are large gaps, or many missing genotypes.

In the composite interval mapping (CIM) method (Jansen and Stam, Genetics 136, 1447-1455, 1994; Utz and Melchinger, 1994, supra; Zeng, Genetics 136, 1457-1468, 1994), the analysis is performed in the usual way, except that the variance from other QTLs are accounted for by including partial regression more power and precision than simple interval mapping, because the effects of other QTls are not present as residual variance. CIM can remove the bias that can be caused by the QTLs that are linked to the position being tested.

Publicly available software are used to map a locus for transpiration efficiency. Such software include, for example, the following:

- (i) MapMaker/QTL (<a href="ftp://genome.wi.mit.edu/pub/mapmaker3/">ftp://genome.wi.mit.edu/pub/mapmaker3/</a>), for analyzing F2
   or backcross data using standard interval mapping;
  - MQTL, for composite interval mapping in multiple environments or for performing simple interval mapping using homozygous progeny (eg. double haploids, or recombinant inbred lines);
- (iii) PLABQTL (Utz and Melchinger, PLABlocus Version 1.0. A computer program to map QTL, Institut für Pflanzenzüchtung, Saatgutforschung und Populationsgenetik, Universität Hohenheim, 70593 Stuttgart, Germany, 1995; http://www.uni-hohenheim.de/~ipspwww/soft.html) for composite interval mapping and simple interval mapping of a locus in mapping populations derived from a bi-parental cross by selfing, or in double haploids;
- 25 (iv) QTL Cartographer (http://statgen.mcsu.edu/qtlcart/cartographer.html) for single-marker regression, interval mapping, or composite interval mapping, using F2 or backcross populations;
  - (v) MapQTL (http://www.cpro.dlo.nl/cbw/); Qgene for performing either singlemarker regression or interval regression to map loci; and
- 30 (vi) SAS for detecting a locus by identifying associations between marker genotype and transpiration efficiency by a single marker analysis approach such as ANOVA, t-test, GLM or REG.

In a particularly preferred embodiment, QTL cartographer or MQTL is used to identify a locus associated with the transpiration efficiency of plants.

Those skilled in the art will also be aware that it is possible to detect multiple interacting alleles or genes for a particular trait, such as, for example, using composite interval mapping approaches. To achieve this end, the composite interval mapping may be repeated to look for additional loci. Alternatively, or in addition, two or more distinct regions of the genome can be nominated as candidate loci, and a gamete relationship matrix constructed for each candidate locus, and a 2-locus regression performed for each pair of loci, determining a best fit for the interacting effects between the two loci or aleles at those loci, including any dominance or additive effects. The algorithm described by Carlborg et al., Genetics (2000) can be used for simultaneous mapping. In the present context, such an analysis is performed with reference to the segregation of transpiration efficiency phenotypes in the segregating population.

Use of the ERECTA locus to enhance transpiration efficiency of plants

As will be known to those skilled in the art, a single locus, if present in the genome of a plant, can have a significant influence on the phenotype of the plant. For example, Grandillo et al., Theor. Appl. Genet. 99, 978-987, 1999, showed that for tomato a selection made from a total 28 loci determining fruit size and weight explained 20% of the total phenotypic variance in this trait.

Accordingly, a second aspect of the invention provides a method of selecting a plant having enhanced transpiration efficiency, comprising:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant; and
- (b) selecting a plant that comprises or expresses a gene that maps to the locus.
- 30 By "enhanced transpiration efficiency" is meant that the plant loses less water per unit of dry matter produced, or alternatively, produces an enhanced amount of dry matter per unit of water transpired, relative to a counterpart plant. By "counterpart plant" is meant a plant having a similar or near-identical genetic background, such as, for example, a near-isogenic plant, a sibling, or parent.

In accordance with this aspect of the invention, a locus is identified by conventional locus mapping means, and/or by homology searching for genes that map to the *ERECTA* locus on chromosome 2 of the *A. thaliana* genome, such as, for example, by searching for homologs of the *A. thaliana ERECTA* allele or *erecta* allele as described herein above.

Preferably, to select a plant that comprises or expresses the appropriate gene, marker-assisted selection (MAS) is used. As will be known to those skilled in the art, once a particular locus has been identified, genetic or physical markers that are linked to the locus can be readily identified and used to confirm the presence of the locus in breeding populations. For a locus that is flanked by two tightly-linked markers that recombine only at a low frequency, the presence of the flanking markers is indicative of the presence of the locus.

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For marker-assisted selection, it is preferred that the marker is a genetic marker (eg. a gene or allele), or a physical marker (eg. leaf hairiness or pod shape), or a molecular marker such as, for example, a restriction fragment length polymorphism (RFLP), a restriction (RAPD), amplified fragment length polymorphism (AFLP), or a short sequence repeat (SSR) such as a microsatellite, or SNP. It is also within the scope of the invention to utilize any hybridization probe or amplification primer comprising at least about 10 nucleotides in length derived from a chromosome region that is linked in the genome of a plant to the ERECTA locus, as a marker to select plants. Those skilled in the art will readily be able to determine such probes or primers based upon the disclosure herein, particularly for those plant genomes which may have sufficient chromosome sequence in the region of interest in the genome (eg. A. thaliana, rice, cotton, barley, wheat, sorghum, maize, tomato, etc).

For flanking markers that are not tightly linked, such that there is a large recombination distance there between, the presence of the appropriate gene is assessed by identifying those plants having both flanking markers and then selecting from those plants a plant having an enhanced transpiration efficiency. Naturally, the greater the distance between two markers, the larger the population of plants required to identify a plant having both markers, the intervening locus and a gene

within said locus. Those skilled in the art will readily be able to determine the population size required to identify a plant having a particular transpiration efficiency, based upon the recombination units (cM) between two markers.

- 5 Transpiration efficiency is determined by any means known to the skilled artisan. Preferably, transpiration efficiency is determined by measuring dry matter accumulation in the plant by gravimetric means, or by measuring water loss, or the ratio of CO<sub>2</sub> assimilation rate to stomatal conductance.
- In a particularly preferred embodiment, the transpiration efficiency is determined directly, by measuring the ratio of carbon fixed carbon assimilation rate) to water loss (transpiration rate).

In an alternative embodiment, transpiration efficiency is determined indirectly from the carbon isotope discrimination value ( $\Delta$ ). Farquhar et al., Aust. J. Plant Physiol. 9,121-137, 1982, showed that carbon isotope discrimination ( $\Delta$ ; a measure of the extent to which the <sup>13</sup>C/<sup>12</sup>C ratio of organic matter is less than that of CO<sub>2</sub> in the source air), is an effective indirect measure of transpiration efficiency. determination of transpiration efficiency in this manner is based upon the constancy of the atmospheric <sup>13</sup>C: <sup>12</sup>C ratio (about 98.19: 1.11) and the finding that, in C<sub>3</sub> plants at least, ribulose bisphosphate carboxylase (Rubisco) enzymes discriminate against the use of <sup>13</sup>C. Thus, <sup>13</sup>CO<sub>2</sub> is less efficiently assimilated than <sup>12</sup>CO<sub>2</sub>, and diffuses lessthrough stomata in and out of the leaf. However, when the stomata become nearly closed, the diffusion of <sup>13</sup>CO<sub>2</sub> is more difficult to achieve and, at higher intracellular concentrations of <sup>13</sup>CO<sub>2</sub>, this isotope is incorporated into 3phosphoglycerate, and subsequently into dry matter. As a consequence, carbon isotope discrimination (Δ) is greatest when the overall CO<sub>2</sub> assimilation rate during photosynthesis (A) is small, and stomatal conductance (gw) to water vapor is large. This relationship is represented by the following algorithm:

30  $\Delta (^{\circ}/_{\circ \circ}) = 27-36A/(G_w \times C_a)$ 

wherein  $C_a$  is the ambient  $CO_2$  concentration (ie. [ $^{12}CO_2 + ^{13}CO_2$ ]).

For a  $C_3$  plant that exhibits a value in the range of about 4.5 % to about 6.7 % of for the term 36A/( $G_w \times C_a$ ), a 1 % ochange in carbon isotope discrimination ( $\Delta$ )

corresponds to a change in transpiration efficiency in the range of about 22% to about 15%, respectively.

The negative relationship between carbon isotope discrimination (Δ) and transpiration efficiency has been established for many plant species, including wheat (Farquhar and Richards, Aust. J. Plant Physiol. 11, 539-552, 1984; Farquhar et al., Ann. Rev. Plant Physiol. 40,388-397, 1989), Stylosanthes (Thumma et al., Proc. 9<sup>th</sup> Aust. Agronomy Conf., Wagga Wagga New South Wales, Australia, 1998), cotton, barley, and rice. Accordingly, a lower carbon isotope discrimination (Δ) value for a test plant relative to a counterpart plant is indicative of enhanced transpiration efficiency.

Alternatively, or in addition, transpiration efficiency is determined by another indicator, such as, for example, leaf temperature, ash content, mineral content, or specific leaf weight (dry matter per unit leaf area). For example, specific leaf weight is positively correlated with transpiration efficiency in peanuts and other species (Virgona et al., Aust. J. Plant Physiol., 17, 207-214, 1990; Wright et al., Crop Sci 34, 92-97, 1994). Accordingly, a higher specific leaf weight or higher carbon gain rate for a test plant relative to a counterpart plant is indicative of enhanced transpiration efficiency of the test plant.

The presence of the locus can be established by hybridizing a probe or primer that is linked to an *ERECTA* locus, such as, for example, a probe or primer that hybridizes to the identified chromosome 2 region of *A. thaliana* or the identified chromosome 6 region of rice.

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Preferably, the presence of the locus is established by hybridizing a probe or primer derived from any one or more of SEQ ID Nos: 1, 3, or 5, or from a homologous gene in another plant, or a complementary sequence to such a sequence, to genomic DNA from the plant, and detecting the hybridization using a detection means.

In one embodiment, detection of the hybridization is performed preferably by labelling a probe with a reporter molecule capable of producing an identifiable signal, prior to hybridization, and then detecting the signal after hybridization.

Preferred reporter molecules include radioactively-labelled nucleotide triphosphates and biotinylated molecules. Preferably, variants of the genes exemplified herein, including genomic equivalents, are isolated by hybridisation under moderate stringency or more preferably, under high stringency conditions, to the probe.

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Alternatively, or in addition, hybridization may be detected using any format of the polymerase chain reaction (PCR), including AFLP. For PCR, two non-complementary nucleic acid primer molecules comprising at least about 20 nucleotides in length, and more preferably at least 30 nucleotides in length are hybridized to different strands of a nucleic acid template molecule, and specific nucleic acid molecule copies of the template are amplified enzymatically. Several formats of PCR are described in McPherson et al., In: PCR A Practical Approach., IRL Press, Oxford University Press, Oxford, United Kingdom, 1991, which is incorporated herein by reference.

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For enhancing the transpiration efficiency of a plant wherein the locus is polymorphic, such as, for example, an allele, the method *supra* is modified to include the detection of the specific allele(s) linked to the desired enhancement. According to this embodiment, there is provided a method of selecting a plant having enhanced transpiration efficiency, comprising:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant;
- (b) identifying a polymorphic marker within said locus that is linked to enhanced transpiration efficiency; and
- 25 (c) selecting a plant that comprises or expresses the marker.

Standard means known to the skilled artisan are used to identify a marker within the locus that is linked to enhanced transpiration efficiency. A population of plants that is segregating for the polymorphic marker is generally used, wherein the transpiration efficiency phenotype of plants is then correlated or associated with the presence of a particular allelic form of the marker. As exemplified herein, near-isogenic or recombinant inbred lines of plants were screened to segregate alleles at the *ERECTA* locus and to correlate enhanced transpiration efficiency with the presence of the *ERECTA* allele as opposed to an *erecta* allele.

Suitable markers include any one or more of the markers described herein to be suitable for MAS.

Preferably, the selection of plants in accordance with these embodiment includes the additional step of introducing the locus or polymorphic marker to a plant, such as, for example, by standard breeding approaches or by recombinant means. This may be carried out at the same time, or before, selecting the locus or polymorphic marker.

10 Recombinant means generally include introducing a gene construct comprising the locus or marker into a plant cell, selecting transformed tissue and regenerating a whole plant from the transformed tissue explant. Means for introducing recombinant DNA into plant tissue or cells include, but are not limited to, transformation using CaCl<sub>2</sub> and variations thereof, in particular the method described by Hanahan (1983). 15 direct DNA uptake into protoplasts (Krens et al, Nature 296, 72-74, 1982; Paszkowski et al., EMBO J. 3, 2717-2722, 1984), PEG-mediated uptake to protoplasts (Armstrong et al., Plant Cell Rep. 9, 335-339, 1990) microparticle bombardment, electroporation (Fromm et al., Proc. Natl. Acad. Sci. (USA), 82, 5824-5828, 1985), microinjection of DNA (Crossway et al., Mol. Gen. Genet. 202, 179-185, 1986), microparticle 20 bombardment of tissue explants or cells (Christou et al, Plant Physiol. 87, 671-674, 1988; Sanford, Part. Sci. Technol. 5, 27-37, 1988), vacuum-infiltration of tissue with nucleic acid, or in the case of plants, T-DNA-mediated transfer from Agrobacterium to the plant tissue as described essentially by An et al., EMBO J. 4, 277-284, 1985; Herrera-Estrella et al., Herrera-Estella et al., Nature 303, 209-213, 1983; Herrera-25 Estella et al., EMBO J. 2, 987-995, 1983; or Herrera-Estella et al., In: Plant Genetic Engineering, Cambridge University Press, N.Y., pp 63-93, 1985..

For microparticle bombardment of cells, a microparticle is propelled into a cell to produce a transformed cell. Any suitable ballistic cell transformation methodology and apparatus can be used in performing the present invention. Exemplary apparatus and procedures are disclosed by Stomp et al. (U.S. Patent No. 5,122,466) and Sanford and Wolf (U.S. Patent No. 4,945,050). When using ballistic transformation procedures, the gene construct may incorporate a plasmid capable of replicating in the cell to be transformed.

Examples of microparticles suitable for use in such systems include 1 to 5 micron gold spheres. The DNA construct may be deposited on the microparticle by any suitable technique, such as by precipitation.

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A whole plant may be regenerated from the transformed or transfected cell, in accordance with procedures well known in the art. Plant tissue capable of subsequent clonal propagation, whether by organogenesis or embryogenesis, may be transformed with a gene construct of the present invention and a whole plant regenerated therefrom. The particular tissue chosen will vary depending on the clonal propagation systems available for, and best suited to, the particular species being transformed. Exemplary tissue targets include leaf disks, pollen, embryos, cotyledons, hypocotyls, megagametophytes, callus tissue, existing meristematic tissue (eg., apical meristem, axillary buds, and root meristems), and induced meristem tissue (eg., cotyledon meristem and hypocotyl meristem).

The term "organogenesis", as used herein, means a process by which shoots and roots are developed sequentially from meristematic centres.

20 The term "embryogenesis", as used herein, means a process by which shoots and roots develop together in a concerted fashion (not sequentially), whether from somatic cells or gametes.

The generated transformed plants may be propagated by a variety of means, such as by clonal propagation or classical breeding techniques. For example, a first generation (or T1) transformed plant may be selfed to give homozygous second generation (or T2) transformant, and the T2 plants further propagated through classical breeding techniques.

30 The generated transformed organisms contemplated herein may take a variety of forms. For example, they may be chimeras of transformed cells and non-transformed

cells; clonal transformants (eg., all cells transformed to contain the expression cassette); grafts of transformed and untransformed tissues (eg., in plants, a transformed root stock grafted to an untransformed scion).

Alternatively, the transformed plants are produced by an in planta transformation method using Agrobacterium tumefaciens, such as, for example, the method described by Bechtold et al., CR Acad. Sci. (Paris, Sciences de la viel Life Sciences) 316, 1194-1199, 1993 or Clough et al., Plant J 16: 735-74, 1998, wherein A. tumefaciens is applied to the outside of the developing flower bud and the binary vector DNA is then introduced to the developing microspore and/or macrospore and/or the developing seed, so as to produce a transformed seed. Those skilled in the art will be aware that the selection of tissue for use in such a procedure may vary, however it is preferable generally to use plant material at the zygote formation stage for in planta transformation procedures.

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Identification of genes for determing the transpiration efficiency of a plant

As exemplified herein, the inventors also identified specific genes or alleles that are linked to the ERECTA locus and determine the transpiration efficiency of a plant. More particularly, the transpiration efficiencies of near-isogenic lines, wherein each line carries a mutation within a target locus in the region of a locus associated with transpiration efficiency, were determined, thereby providing the genetic contribution of that locus to transpiration efficiency. This analysis allowed the inventors to assess the genetic contribution of particular alleles to transpiration efficiency, thereby determining allelic variants that are linked to a particular transpiration efficiency.

Thus, the elucidation of the ERECTA locus for transpiration efficiency in plants facilitated the fine mapping and determination of allelic variants that determine transpiration efficiency.

Accordingly, a third aspect of the invention provides a method of identifying a gene that determines the transpiration efficiency of a plant.

In one embodiment, the method comprises:

- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant;
- (b) identifying a gene or allele that is linked to said locus, wherein said gene or allele is a candidate gene or allele for determining the transpiration efficiency of a plant; and
- (c) determining the transpiration efficiencies of a panel of near isogenic plants, wherein not all members of said panel comprise said gene or allele, and wherein variation in transpiration efficiency between the members of said panel indicates that said gene is involved in determining transpiration efficiency.

In another embodiment, the method comprises:

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- (a) identifying a locus associated with genetic variation in transpiration efficiency in a plant;
- 15 (b) identifying multiple alleles of a gene that is linked to said locus, wherein said gene is a candidate gene involved for determining the transpiration efficiency of a plant; and
  - (c) determining the transpiration efficiencies of a panel of near isogenic plants, wherein each member of said panel comprises at least one of said multiple alleles, wherein variation in transpiration efficiency between the members of said panel indicates that said gene is involved in determining transpiration efficiency.

In the present context, the term "near isogenic plants" shall be taken to mean a population of plants having identity over a substantial proportion of their genomes, notwithstanding the presence of sufficiently few differences to permit the contribution of a distinct allele or gene to the transpiration efficiency of a plant to be determined by a comparison of the transpiration efficiency phenotypes of the population. As will be known to the skilled artisan, recombinant inbred lines, lines produced by introgression of a gene followed by several generations of backcrossing, or siblings, are suitable near-isogenic lines for the present purpose.

Preferably, the identified gene or allele identified by the method described in the preceding paragraph is selected from the group consisting of *ERECTA* gene, *Erecta* 

alleles, homologs of *ERECTA*, , wherein said homologs are from plants species other than *A. thaliana*.

In a particularly preferred embodiment, the identified gene or allele will comprise a nucleotide sequence selected from the group consisting of:

- (a) a sequence having at least about 55% identity to a sequence selected from the group consisting of SEQ ID NO: 1, SEQ ID NO: 3, SEQ ID NO: 5, SEQ ID NO: 7, and SEQ ID NO: 9;
- (b) a sequence encoding an amino acid sequence having at least about 55% identity to an amino acid sequence selected from the group consisting of SEQ ID NO: 2, SEQ ID NO: 4, SEQ ID NO: 6, SEQ ID NO: 8 and SEQ ID NO: 10; and
  - (c) a sequence complementary to (a) or (b).
- Preferably, the percentage identity is at least about 59-61% or 70% or 80%, more preferably at least about 90%, and even more preferably at least about 95% or 99%. In a particularly preferred embodiment, the identified gene or allele comprises a nucleotide sequence selected from the group consisting of:
- (a) a sequence selected from the group consisting of SEQ ID NO: 1, SEQ ID NO:
   3, SEQ ID NO: 5, SEQ ID NO: 7, and SEQ ID NO: 9;
  - (b) a sequence encoding an amino acid sequence selected from the group consisting of SEQ ID NO: 2, SEQ ID NO: 4, SEQ ID NO: 6, SEQ ID NO: 8 and SEQ ID NO: 10; and
  - (c) a sequence complementary to (a) or (b).

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Enhancement of transpiration efficiency using isolated genes

The identified gene or alleles, including any homologs from a plant other than A. thaliana, such as, for example, the wild-type ERECTA allele, or a homolog thereof, is useful for the production of novel plants. Such plants are produced, for example, using recombinant techniques, or traditional plant breeding approaches such as by introgression.

Accordingly, a fourth aspect of the present invention provides a method of enhancing the transpiration efficiency of a plant comprising ectopically expressing

in a plant an isolated gene comprising a nucleotide sequence that is homologous to a protein-encoding region of a gene that is linked to the *A. thaliana ERECTA* locus on chromosome 2.

- 5 In a particularly preferred embodiment, the isolated gene comprises a nucleotide sequence selected from the group consisting of:
  - (a) a sequence having at least about 55% identity to a sequence selected from the group consisting of SEQ ID NO: 1, SEQ ID NO: 3, SEQ ID NO: 5, SEQ ID NO: 7, and SEQ ID NO: 9;
- 10 (b) a sequence encoding an amino acid sequence having at least about 55% identity to an amino acid sequence selected from the group consisting of SEQ ID NO: 2, SEQ ID NO: 4, SEQ ID NO: 6, SEQ ID NO: 8 and SEQ ID NO: 10; and
  - (c) a sequence complementary to (a) or (b).

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Preferably, the percentage identity is at least about 59-61% or 70% or 80%, more preferably at least about 90%, and even more preferably at least about 95% or 99%.

In a particularly preferred embodiment, the isolated gene or allele comprises a nucleotide sequence selected from the group consisting of:

- (a) a sequence selected from the group consisting of SEQ ID NO: 1, SEQ ID NO:3, SEQ ID NO: 5, SEQ ID NO: 7, and SEQ ID NO: 9;
- (b) a sequence encoding an amino acid sequence selected from the group consisting of SEQ ID NO: 2, SEQ ID NO: 4, SEQ ID NO: 6, SEQ ID NO: 8 and SEQ ID NO: 10; and
- (c) a sequence complementary to (a) or (b).

To ectopically express the isolated gene in a plant, the protein-encoding portion of the gene is generally placed in operable connection with a promoter sequence that is operable in the plant, which may be the endogenous promoter or alternatively, a heterologous promoter, and a transcription termination sequence, which also may be the endogenous or an heterologous sequence relative to the gene of interest. The promoter and protein-encoding portion and transcription termination sequence are generally provided in the form of a gene construct, to facilitate introduction and

maintenance of the gene in a plant where it is to be ectopically expressed. Numerous vectors suitable for introducing genes into plants have been described and are readily available. These may be adapted for expressing an isolated gene in a plant to enhance transpiration efficiency therein.

5

Reference herein to a "promoter" is to be taken in its broadest context and includes the transcriptional regulatory sequences of a classical eukaryotic genomic gene, including the TATA box which is required for accurate transcription initiation, with or without a CCAAT box sequence and additional regulatory elements (ie. upstream activating sequences, enhancers and silencers) which alter gene expression in response to developmental and/or external stimuli, or in a tissue-specific manner. In the present context, the term "promoter" is also used to describe a synthetic or fusion molecule, or derivative which confers, activates or enhances expression of said sense molecule in a cell. Preferred promoters may contain additional copies of one or more specific regulatory elements, to further enhance expression and/or to alter the spatial expression and/or temporal expression of a nucleic acid molecule to which it is operably connected. For example, copper-responsive regulatory elements may be placed adjacent to a heterologous promoter sequence driving expression of a nucleic acid molecule to confer copper inducible expression thereon.

20

Placing a nucleic acid molecule under the regulatory control of a promoter sequence means positioning said molecule such that expression is controlled by the promoter sequence. A promoter is usually, but not necessarily, positioned upstream or 5' of the protein-encoding portion of the gene that it regulates. Furthermore, the regulatory elements comprising a promoter are usually positioned within 2 kb of the start site of transcription of the structural protein-encoding nucleotide sequences, or a chimeric gene comprising same. In the construction of heterologous promoter/structural gene combinations it is generally preferred to position the promoter at a distance from the gene transcription start site that is approximately the same as the distance between that promoter and the gene it controls in its natural setting, ie., the gene from which the promoter is derived. As is known in the art, some variation in this distance can be accommodated without loss of promoter function. Similarly, the preferred positioning of a regulatory sequence element with respect to a heterologous gene to be placed under its control is defined by the

positioning of the element in its natural setting, ie., the genes from which it is derived. Again, as is known in the art, some variation in this distance can also occur.

Promoters suitable for use in gene constructs of the present invention include those promoters derived from the genes of viruses, yeasts, moulds, bacteria, insects, birds, mammals and plants which are capable of functioning in plant cells, including monocotyledonous or dicotyledonous plants, or tissues or organs derived from such cells. The promoter may regulate gene expression constitutively, or differentially with respect to the tissue in which expression occurs or, with respect to the developmental stage at which expression occurs, or in response to external stimuli such as physiological stresses, pathogens, or metal ions, amongst others.

Examples of promoters useful in performing this embodiment include the CaMV 35S promoter, NOS promoter, octopine synthase (OCS) promoter, Arabidopsis thaliana SSU gene promoter, napin seed-specific promoter, SCSV promoter, SCBV promoter and the like. In addition to the specific promoters identified herein, cellular promoters for so-called housekeeping genes, including the actin promoters, or promoters of histone-encoding genes, are useful.

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The term "terminator" refers to a DNA sequence at the end of a transcriptional unit which signals termination of transcription. Terminators are 3'-non-translated DNA sequences containing a polyadenylation signal, that facilitate the addition of a polyadenylate sequence to the 3'-end of a primary transcript. Terminators active in cells derived from viruses, yeasts, moulds, bacteria, insects, birds, mammals and plants are known and described in the literature. They are isolatable from bacteria, fungi, viruses, animals and/or plants.

Examples of terminators particularly suitable for use in the gene constructs of the present invention include the nopaline synthase (NOS) gene terminator of Agrobacterium tumefaciens, the terminator of the Cauliflower mosaic virus (CaMV) 35S gene, the zein gene terminator from Zea mays, the Rubisco small subunit (SSU) gene terminator sequences and subclover stunt virus (SCSV) gene sequence terminators, amongst others.

Those skilled in the art will be aware of additional promoter sequences and terminator sequences that may be suitable for use in performing the invention. Such sequences may readily be used without any undue experimentation.

5

Preferably, the gene construct further comprises an origin of replication sequence for its replication in a specific cell type, for example a bacterial cell, when said gene construct is required to be maintained as an episomal genetic element (eg. plasmid or cosmid molecule) in said cell. Preferred origins of replication include, but are not limited to, the f1-ori and colE1 origins of replication.

Preferably, the gene construct further comprises a selectable marker gene or genes that are functional in a cell into which said gene construct is introduced.

As used herein, the term "selectable marker gene" includes any gene which confers a phenotype on a cell in which it is expressed to facilitate the identification and/or selection of cells which are transfected or transformed with a gene construct of the invention or a derivative thereof.

20 Suitable selectable marker genes contemplated herein include the ampicillin resistance (Amp<sup>r</sup>), tetracyclin-resistance gene (Tc<sup>r</sup>), bacterial kanamycin resistance gene (Kan<sup>r</sup>), phosphinothricin resistance gene, neomycin phosphotransferase gene (nptII), hygromycin resistance gene, β-glucuronidase (GUS) gene, chloramphenicol acetyltransferase (CAT) gene, and luciferase gene, amongst others.

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In a related embodiment, the invention extends to the use of an isolated gene comprising a nucleotide sequence that is homologous to a protein-encoding region of a gene of A. thaliana that is positioned between about 46cM to about 50.74cM on chromosome 2 in the preparation of a gene construct for enhancing the transpiration efficiency of a plant.

In an alternative embodiment of the invention, the transpiration efficiency of a plant is enhanced by classical breeding approaches, comprising introgressing the isolated gene into a plant. For introgression of a gene, the gene is transferred from its native genetic background into another genetic background using standard breeding, for example, a gene that enhances transpiration efficiency in a progenitor such as a diploid cotton or diploid wheat may be transferred into a commercial tetraploid cotton or hexaploid wheat, respectively, by standard crossing, followed by several generations of back-crossing to remove the genetic background of the progenitor. Naturally, continued selection of the gene of interest is required, such as, for example, facilitated by the use of markers.

A fifth aspect of the present invention provides a plant having enhanced transpiration efficiency, wherein said plant is produced by a method described herein.

The present invention is further described with reference to the following nonlimiting examples.

15

### EXAMPLE 1

<sup>12</sup>C/<sup>13</sup>C discrimination as a marker for screening genetic variation in transpiration efficiency.

Experimental conditions and sampling procedures were established to allow the control of many factors, other than genetic, that influence transpiration efficiency at the level of individual leaves and plants. These factors fall into several categories: (a) characteristics of the seedling's micro-environment: temperature, light, humidity, boundary layer around the leaves, root growth conditions; (b) developmental and morphological effects that modify gas exchange and C metabolism and therefore carbon isotopic signature (eg age, stage, posture); and (c) seed effects.

We developed high resolution mass-spectrometer techniques for measuring C isotope ratios in whole tissues or carbon compounds such as soluble sugars -ie a measure of integrated transpiration efficiency over the plant's life or over a day, respectively, and also for measuring instantaneous transpiration efficiency during gas exchange.

This means:

- 0.1 per mil analytical precision in the measurement of the isotopic composition of leaf carbon. Discrimination, (-), is approximately the isotope ratio of carbon in source CO<sub>2</sub> minus that of plant organic carbon. In a particular experiment, the source CO<sub>2</sub> is common to all genotypes.
- 0.1 per mil biological precision, that is variation between replicated seedlings, grown in soil, either in growth chambers or in glasshouses with CO₂, humidity and temperature control (corresponding to approximately 1.5% variation in transpiration efficiency).
- The ability to grow and screen large batches of seedlings in glasshouses or growth chambers (up to 1500), under standardised leaf and root growth conditions, to a rosette size of several cm within 2-3 weeks allowing individual measurements, on the same plant, of isotope ratios and also of the underlying properties (eg in situ measurement of leaf temperature by infra-red thermometry as a measure of stomatal conductance; chlorophyll fluorescence; leaf expansion).

## **EXAMPLE 2**

Natural genetic variation in transpiration efficiency in Arabidopsis thaliana

A. thaliana ecotypes were screened for leaf Δ under glasshouse conditions. There

was a large spread of values (corresponding to approximately 30 % genetic variation in transpiration efficiency). However, large environmental effects were noted. A few contrasted ecotypes were selected at the two extremes of the range of Δ values and compared under various conditions of irradiance (150 to 500 μE m<sup>-2</sup>s<sup>-1</sup>), light spectrum (Red/Far-Red ratios) and air humidity (60 to 90%) while roots were always well watered. The magnitude of genetic differences in transpiration efficiency was very much influenced by environmental conditions. This was in part due to variations among ecotypes in the dependence of photosynthesis on light and vapour pressure deficit. Genetic differences were maximal under a combination of high light and low humidity, in growth chambers.

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The ecotypes Columbia (Col) and Landsberg erecta (Ld-er) have extreme carbon isotope discrimination values, with Col always having smaller values than Ld-er, and thus a greater transpiration efficiency.

#### **EXAMPLE 3**

Identification of a locus associated with transpiration efficiency in A. thaliana
Quantitative Trait Loci (QTL) analysis of the Lister and Dean's (1993) Recombinant
Inbred Lines (later referred to as RILs) was performed to identify and map a locus
associated with carbon isotope discrimination (Δ). The RILs were from a cross
between Col-4 and Ler-0. Our analysis confirmed the importance of genes around
the ER locus, and a role for genes other than ERECTA in conferring transpiration
efficiency on A. thaliana.

More particularly, 300 RI mapping lines between Col and Ler ecotypes, available at the Arabidopsis Stock Centre, were generated from a cross between the *Arabidopsis* ecotypes Columbia (Col4) and Landsberg erecta (Ler-0 carrying er1) (Lister and Dean, 1993), using Columbia as the male parent. A subset of 100 of these lines, chosen as the most densely and reliably mapped were used in the present analysis.

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The seeds were multiplied in a glasshouse in an attempt to minimize confounding seed effects in our comparisons. Large numbers of seeds were obtained for most lines except for a few, including Col4 parent, which had to be re-ordered following low seed viability of the original sample sent by the Stock Centre. The seeds harvested in these propagation runs were used throughout all our experiments to date.

Loci were analysed using two programs, QTL cartographer and MQTL. These programs compute statistics of a trait at each marker position, using a range of methods [linear regression (LR), stepwise regression (SR), and likelihood approaches (Single interval mapping (SIM) which treats values at individual markers as independent values, and composite interval mapping (CIM) which allows for interactions between markers and associated locus)]. By nature each of these methods has some biases and embedded assumptions, hence the importance of analysing data with more than one program. Only results that were consistent between the two programs, and robust to additions or deletions to the set of background markers used for composite interval mapping are reported below.

Initial QTL analysis was done in parallel to seed multiplication on a subset of 40 lines for which enough seeds were sent. Once all seeds had been multiplied this was

repeated on the full set of 100 lines. These two analyses indicated the existence of a locus for carbon isotope discrimination ( $\Delta$ ), that maps to the region including the *ERECTA* locus on chromosome 2, at approximately 46-51 cM (Table 1, run 1&2).

5 Given the complexity and integrative nature of Δ as a physiological trait, such a small number of loci associated with the trait was not expected. Subsequent experiments were therefore designed to test these results and assess their stability across the range of environmental conditions known for their effects on gene expression related to Δ (see above). QTL analysis was repeated on several completely independent data sets obtained under highly controlled conditions in glasshouses or growth chambers, where either air humidity, photoperiod or irradiance (amount, diurnal pattern, day to day variation) was varied. Depending on the experiment, all 100 recombinants inbred lines were included or only the subset of lines with crossovers on chromosome 2. These experiments confirmed that genetic variation in Δ could be mostly ascribed to a portion of chromosome 2 (Table 1) between about 46-50.7 cM.

When RILs were sorted graphically according to carbon isotope discrimination and their genotype at the ER marker (50.64 cM) and its vicinity (Ld-er1 genotype or Col-ER genotype), lines which were Ld-er at the ERECTA marker ranked mostly at the high end of carbon isotope discrimination values. In contrast, lines having a Col-ERECTA marker genotype ranked mostly at the low end of carbon isotope discrimination values (data available on request). In the middle of the range of carbon isotope discrimination values, there was some overlap between the two sets of lines. Some lines were always at an extreme (in all 18 experiments performed), while the ranking of other lines was more unstable. These data indicate a locus for transpiration efficiency, as determined by the carbon isotope discrimination value, in the vicinity of the ERECTA locus on chromosome 2 (Table 1). This locus most likely involves the ER gene. Depending on the positions of cross-overs between Ld-er and Col, recombination between ERECTA and one or more of the other genes influences the transpiration efficiency phenotype of the progeny.

### **EXAMPLE 5**

Determination of a role for the ERECTA gene in regulating transpiration efficiency

We compared Col and Ler ecotypes with near-isogenic mutant lines for the *erecta* gene, to examine a possible role of the *ERECTA* gene in determining carbon isotope discrimination ( $\Delta$ ).

Plants expressing the wild type *ERECTA* gene (SEQ ID NO: 1), or an *erecta* mutant allele in the Columbia background (eg. Col-*er101* to -*er105*; or Col-*er108* to -*er123*), have been publicly described. Two of these mutants were available for comparison to the isogenic or near-isogenic lines (Table 2).

10 Col4, the other parental line (ER) for Lister and Dean's RILs was systematically included in the comparison. Where possible, other Col "ecotypes" were also included, (eg. Col0, Col3-7), to assess their similarity with respect to carbon isotope discrimination, especially compared to the RIL parental ecotype Col4.

The results of these comparisons are described in Table 3. Data indicate that the differences in carbon isotope discrimination values between er and ER lines for 15 different experimental runs corresponding to growth under low to high light (100 to 800  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>), low to high humidity (40 to 85%), short to long days (8, 10, 24hrs), normal to high temperatures (22/20°C to 28/20 °C).

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As expected, the spread of carbon isotope discrimination values among lines varied with environmental conditions. Lines carrying er mutations have a greater carbon isotope discrimination value overall than those having the ER wild type gene (see Table 3, column 1), indicative of a lower water use-efficiency. There is usually little difference in C isotopic discrimination between the various Col lines, (see the similar averages obtained for columns 2, 3, and 4 in Table 3, wherein er105 is compared to 3 different Col ecotypes, Col0, Col4 and 3176 or Col1). When present, the er105 mutant always has the greatest carbon isotope discrimination value of all lines, including er1 and er2 (columns 2-4 compared to columns 5-6 in Table 3, or column 8 compared to column 9 in Table 3). The value measured in the er105 mutant is always significantly greater than in the ER isogenic line (column 4 in Table 3). The value measured in er1 (Landsberg parental line NW20) is usually also greater than that in the ER lines 3177 (near isogenic, column 6 of Table 3), and to a lesser extent Col4 (Columbia parental line, column 7 of Table 3). These observations give

direct evidence that the *ERECTA* gene plays a significant role in determining genetic differences in carbon isotopic discrimination in *Arabidopsis*.

This conclusion is independently confirmed by leaf gas exchange measurements that allow the direct measure of transpiration efficiency (ratio of net CO<sub>2</sub> fixation to water loss; column 4 in Table 4; Figures 1a-1c, 2a-2c). Measurements on mature leaves reveal that ER lines are characterised by a greater ratio of CO<sub>2</sub> assimilation to water loss than lines carrying er mutations. This is most obvious when comparing the pair Col1/er105 with a 21% greater transpiration efficiency (ratio A/E) in Col1 than er105, or the pair Col1/er2 with a 16% greater transpiration efficiency in Col1. Consistent with the measurements of carbon isotope discrimination, the effect er/ER is relatively smaller in the Ld background (9% greater ratio A/E in Ld-ER (3177) than the Ld-er1(NSW20) background.

Also consistent with the carbon discrimination measurements, is the 20% difference in transpiration efficiency between the two RILs parental lines (4.06 and 3.38 mmolC/molH2O in *Col4-ER* and *Ld-er1*, respectively).

The fact that of all 3 erecta mutants examined, er105 has the most extreme carbon 20 discrimination and transpiration efficiency phenotypes suggests that the er105 mutation affects a more crucial part of the ERECTA gene than er2 or er1. This is consistent with the published data on the er105 mutant. This mutation corresponds to the insertion of a large "foreign insert" in the ERECTA gene (1200bp). The insertion totally inhibits transcription of the gene and causes the strongest erecta phenotype of all erecta mutants isolated in Col (with respect to inflorescence clustering and silique width and shape. Alternatively, or in addition, data indicate that erecta mutations have a stronger effect on carbon isotope discrimination values in a Columbia genetic background than in a Landsberg background (comparison of phenotypic effects of er105 and er1), implying that other genes, polymorphic 30 between Landsberg and Columbia ecotypes, interact with ERECTA in determining transpiration efficiency. This could also account for the greater difference in transpiration efficiency between er/ER lines in Col background than in a Ld background (see above, Table 4). Alternatively, or in addition, data indicate that the erecta mutation is not the only mutation present in the er105 mutant. For example,

the mutagenized Col seeds may have carried the gl1 mutation, induced by the fast neutron irradiation, that also contributes to the phenotype observed.

A comparison of transcript profiles in er/ER isogenic lines (in both Col and Ld background) allows determination of the involvement of additional genes to ERECTA and the effect of environment on their expression.

45

TABLE 1

QTL Analysis of Carbon Isotope Discrimination in Lister and Dean's Recombinant Inbred Lines

,			1	(		ı	
RUN No.	chr2 locus	QIL	chr4 locus	S	CONCLUSION		
Experimental conditions		analysis method		QTLs number predicted map position	predicted	map position	
Run 1 (40 lines) Glasshouse-							
12h day length	58.5	SIM&CIM		2	chr2:	58.5-61.02	
irradiance 150-350 $ m \mu E~m^{-2}~s^{-1}$	46.77	SIM&CIM			chr2:	46.77-50.75	
Seedlings transferred from	61.02	SIM	108.5				
agar plates	•						
Run1 data							
but with using different							
markers							
	56.94 to 58.00	CIM&SIM		T			
	46.77 to 50.75	SIM					
•	63.02						

RUN No.	chr2 locus	QTL	chr4 locus	S	CONCLUSION	z
Experimental conditions		analysis method		QTLs number predicted map position	predicted	l map position
Run 1						
with different number of						
lines						
	58.5 to 61.02			7		
	56-61					
Run2						
Glasshouse						
September						
from seeds sown on soil						
batch 1	50.75	CIM (QTL cart)		7	chr2:	56.94-61.02
	61.02	MQTL			cbr2:	50.75
batch 2	?50.75	MQTL		NS		
batch3-5						
all batches	58.5	MQTLcart		SN		
	56.94-58.5	MQTL				

RUN No.	chr2 locus	QTL	chr4 locus	8	CONCLUSION	7.
Experimental conditions		analysis method		QTLs number predicted map position	predicted	l map position
Run 3						
37 lines: parents and lines						
with crossing-overs on						
chromosome 2						
5 growth conditions						
differing in humidity,						
irradiance, mode of						
establishment (seeds sown						
on soil or seedlings						
transplanted from agar)						
batch B	61.02-61.06		108 NS			
batch C	56.94-58.00					
batch D	63.02	QTLcar				
	63.02	MQTL				
all batches (conditions)	58.5			1or 2?	chr2:	56.94-58.5
	61.02			က	chr2:	61.02-63.02

RUN No.	chr2 locus	QTL	chr4 locus	ŏ	CONCLUSION	NO
Experimental conditions		analysis method		QTLs number	predict	QTLs number predicted map position
Run 4						
same lines as Run 3						
growth chambers						
10h daylight						
	50.74				chr2:	50.74
Run 5						
repeat of run 1 BUT ALL						
lines						
	50.74			1	chr2:	50.74
Run 7						
same lines as in run 1 but						
in growth chamber						
and higher light						
10h daylength	46.77-50.75	CIM&SIM		г	chr 2:	46.77-5065
470-510 µE m² s¹ irradiance						

# TABLE 2

Background	Mutation	Stock Centre name	Isogenic ER line and
Landsberg	er1	CS20 or NW20 a	Stock Centre Name 3177 or CS163
Columbia	er2 <sup>b</sup>	3401	Col1 or 3176
Columbia	er105 °		Col3 with gl1 merker or Col0

- a, NW20 is an Ler parent for Lister and Dean's recombinant lines, carrying the er1
  mutation. Lines 3177 or CS163 are the closest isogenic ER lines.
  - b, er2 is an er allele identified by Rédéi in Col background. Col1 or 3176 are the closest Col near-isogenic lines.
  - c, er105 was isolated from a fast-neutron-irradiated Col seed population (Torii et al., 1996).
- d, Col4, the *Col* parent for the Lister and Dean's parent was systamically included in all comparisons.

20

TABLE 3

Comparison of er/ER lines in both Col and Ld background for carbon isotope discrimination values

	1	1	in loof mo	una mil) in loof motomial under a range of ansironmental conditions	nondo of onth	ironmontal of	mditione		
Run		T.ied)	om) an real and lifferences in	nn) nn tean mateirian tutuer a range of environmentan communis. Differences in mean carbon isotope discrimination values (per mil)	isotope disc	rimination ve	olues (per mi	<b>(</b> E)	
No.	(1)	(2)	(3)	(4)	_ (2)	(9)	(2)	(8)	(6)
	er-ER	er105-Col0	er105-Col0 er105-Col4	er105-3176	er2-3176	er1-3177	er1-Col4	er105-Coli	er1-Coli
	(all lines)						(parental		
		•					lines for		
							RILs)		
	0.13	0.16						0.16	
	0.89	1.18						1.18	
	0.26	0.11						0.11	
	1.12	1.60						1.60	
	1.03		1.83	1.67	0.92	0.64	0.82	1.75	0.73
•	0.70	1.13	1.01	0.71	0.27	0.74	0.73	0.95	0.73
	0.70	1.32	1.12	1.23	0.75	0.35	0.05	1.22	0.15
	0.59	1.16	1.11	1.19	0.54	0.28	90.0	1.16	0.17
	0:30	1.09	0.77	0.77	0.02	0.00	0.56	0.88	0.28
0	0.56	1.05	0.94	0.87	0.38	0.39	0.33	0.95	0.36

11	0.48		0.40				0.52	0.40	0.52
12	0.36	0.82	1.31	1.08	0.33	0.05	0.07	1.07	0.01
13	0.38		06.0	0.82	0.07	09.0	0.52	0.86	0.56
14	0.65	1.42	09:0			0.58	90.0	1.01	0.32
15	0.82						0.82		0.82
For all runs:	:uns:								
Mean	09.0	1.01	1.00	1.04	0.41	0.40	0.41	0.95	0.42
S.E.	0.07	0.14	0.11	0.11	0.10	0.08	60.0	0.12	0.08
						-			
For Con	For Common runs:								
Mean:	0.58	1.10	1.12	1.04	0.41	0.38	0.39	1.11	0.37
S.E.	0.08	0.05	0.11	0.11	0,10	0.09	0.10	0.10	0.09

TABLE 4

Run 9- December 2001: Leaf gas exchange measurements in er/ER Arabidopsis lines

	Genotype		(1) (EE / Lmmol H <sub>2</sub> O /m <sup>2</sup> /s	(2) (3) A G-1 L <sub>2</sub> O m	(3) Gw mol/m²/s n²/s	(3) (4) (ξ Gw A/E p mol/m²/s mmolC/ μ	(5) pa. ubar 20	(6) pi µbar	(7) pi/pa	(8) 1-pi/pa
Row (1) Ld-ER	3177-G	Mean S.E.	3.38	12.33	0.273	3.67	360	282	0.782	0.218
Row (2) Ld-er	NSW20 E	Me S.E	2.59	8.73 0.31	0.218	3.38	348	280	0.804	0.196
Row (3) Col-ER	933	Mean S.E.	3.41	13.55	0.291	4.06	350 4	270	0.772	0.228
Row (4) Col-ER	3176 J (Col1)	Mean S.E.	2.23	10.13	0.180	4.55 0.24	346 5	254 9	0.734	0.266

(a) a	ብ ማ	Меап	2.27	8.55	0.198	3.76	356	283	0.795	0.205
Kow (3) Col-er	1 00119	S.E.		0.17	0.005	0.07	11	10	0.006	90.00
Row (6) Col-er	er2C	Mean	3.06	11.90	0.256	3.92	357	279	0.780	0.220
50 (c) HOW		S.E.	0.22	0.56	0.027	0.12	₽	9	0.014	0.014
CONCLUSION	NOI									
Compariso	Comparison Ld-ER/Ld-er	l-er	er line l	er line has lower A/E with lower g and lower A	A/E with l	ower g and	d lower /	<b>⋖</b> !		
4			The dif	The difference in A/E is driven by A	A/E is dri	ven by A			,	
Compariso	Comparison 933/NSW20	/20	NSW20	NSW20 (er) has lower A/E with lower g and lower A	ower A/E	with lower	g and lo	wer A		
Compariso	Comparison Col1/Ld-er1	er1	The dif	The difference in A/E is driven by A	A/E is dri	ven by A				
Compariso	Comparison Col1/Col-er105	-er105	er105 b	er105 has MUCH lower A/E with Higher g and lower A	lower A/E	with Hig	her g and	d.lower .	⋖	
1			i.e. the	i.e. the difference in A/E is driven by A and g	in A/E is	driven by	A and g			
Companist	Companison Col1/Col-er2	-er2	er2 has	er2 has lower A/E with MUCH higher g and HIGHER A	with MU	CH higher	r g and F	IIGHER	A	
			i.e. the	difference	in A/E is	driven by	g and is	oppose	d or not di	i.e. the difference in A/E is driven by g and is opposed or not driven by A
					•	•	•			

NOTE:  $p_a$  and  $p_f$  are the ambient and intercellular partial pressures of  $CO_2$ , respectively.

# SEQUENCE LISTING

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          Masle, Josette
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          Farquhar, Graham
          Gilmore, Scott
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J	agt 2272	ctt ?	ctg	ttc	tat	gac	tat	ttg	gaa	aat	ggt	agc	ctc	tgg	gat	ctt

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Trp Arg Gly Val Thr Cys Asp Asn Ala Ser Phe Ala Val Leu Ala Leu

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Asn Leu Ser Asn Leu Asn Leu Gly Gly Glu Ile Ser Pro Ala Ile Gly

95

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F.C			Asn 435	Leu	Asp	Thr	Leu	Asp 440	Leu	Ser	Tyr	Asn	Glu 445	Phe	Ser	Gly
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Pro Val Pro Ala Thr Ile Gly Asp Leu Glu His Leu Leu Glu Leu Asn ttg agt aag aac cat ctt gat ggg cca gtt cct gct gag ttt gga aac Leu Ser Lys Asn His Leu Asp Gly Pro Val Pro Ala Glu Phe Gly Asn ttg aga agc gtc caa gta att gat atg tcc aac aac tta tct ggt Leu Arg Ser Val Gln Val Ile Asp Met Ser Asn Asn Leu Ser Gly agt ctg ccc gag gaa ctt gga caa ctt caa aac ctt gat agc ctg att Ser Leu Pro Glu Glu Leu Gly Gln Leu Gln Asn Leu Asp Ser Leu Ile ctt aac aac aat ttg gtt ggg gag atc cct gct caa ttg gcc aac Leu Asn Asn Asn Leu Val Gly Glu Ile Pro Ala Gln Leu Ala Asn tgc ttc agc tta aat aac ctt gca ttt cag gaa ttt gtc ata caa caa Cys Phe Ser Leu Asn Asn Leu Ala Phe Gln Glu Phe Val Ile Gln Gln ttt atc tgg aca tgt ccc gat ggc aaa gaa ctt ctc gaa att ccc aat Phe Ile Trp Thr Cys Pro Asp Gly Lys Glu Leu Leu Glu Ile Pro Asn gga aag cat ctt cta att tct gat tgc aac cag tac ata aat cat aaa Gly Lys His Leu Leu Ile Ser Asp Cys Asn Gln Tyr Ile Asn His Lys tgc agc ttc ttg ggt aat cca tta ctg cat gtt tac tgc caa gat tcc Cys Ser Phe Leu Gly Asn Pro Leu Leu His Val Tyr Cys Gln Asp Ser age tgt gga cae tet cat gga caa aga gtt aat att tea aag aca gea Ser Cys Gly His Ser His Gly Gln Arg Val Asn Ile Ser Lys Thr Ala att gct tgc att atc tta ggc ttt atc ata ttg ctc tgc gtt ctg ctg Ile Ala Cys Ile Ile Leu Gly Phe Ile Ile Leu Leu Cys Val Leu Leu ttg gct ata tat aaa aca aat caa cca cag cca ctt gtc aaa gga tcc Leu Ala Ile Tyr Lys Thr Asn Gln Pro Gln Pro Leu Val Lys Gly Ser 

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- Trp Arg Gly Val Thr Cys Asp Asn Ala Ser Phe Ala Val Leu Ala Leu 65 70 75 80
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- Glu Leu Lys Asn Leu Gln Phe Val Asp Leu Lys Gly Asn Lys Leu Thr 100 105 110
- 45 Gly Gln Ile Pro Asp Glu Ile Gly Asp Cys Ile Ser Leu Lys Tyr Leu 115 120 125
- Asp Leu Ser Gly Asn Leu Leu Tyr Gly Asp Ile Pro Phe Ser Ile Ser 50 130 135 140
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Gly Pro Ile Pro Ser Thr Leu Ser Gln Ile Pro Asn Leu Lys Thr Leu

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His Glu Val Val Arg Val Leu Asp Cys Leu Val Asn Pro Asp Pro Pro 850 855 860

10

Pro Lys Pro Ser Ala His Gln Leu Pro Gln Pro Ser Pro Ala Val Pro 865 870 875 880

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- Asn Leu Gly Gly Glu Ile Ser Ser Ala Leu Gly Asp Leu Met Asn Leu 30 50 55 60
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	gat aat 2640	act	gtg	atg	gaa	gca	gtt	gat	cca	gag	gtt	act	gtg	act	tgt
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	tct ctt 2832	gat	cac	tca	acc	aaa	aag	ctg	cag	caa	gag	aat	gaa	gtt	agg
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5	Leu 145	Glu	Thr	Leu	Asn	Leu 150	Lys	Asn	Asn	Gln	Leu 155	Thr	Gly	Pro	Val	Pro 160
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10	Asn	His	Leu	Thr 180	Gly	Glu	Ile	Ser	Arg 185	Leu	Leu	Tyr	Trp	Asn 190	Glu	Val
15	Leu	Gln	Tyr 195	Leu	Gly	Leu	Arg	Gly 200	Asn	Met	Leu	Thr	Gly 205	Thr	Leu	Ser
20	Ser	Asp 210	Met	Cys	Gln	Leu	Thr 215	Gly	Leu	Trp	Туг	Phe 220	Asp	Val	Arg	Gly
25	Asn 225	Asn	Leu	Thr	Gly	Thr 230	Ile	Pro	Glu	Ser	Ile 235	Gly	Asn	Cys	Thr	Ser 240
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Arg Leu Val Gly Pro Ile Pro Ser Asn Ile Ser Ser Cys Ala Ala Leu Asn Gln Phe Asn Val His Gly Asn Leu Leu Ser Gly Ser Ile Pro Leu 10 Ala Phe Arg Asn Leu Gly Ser Leu Thr Tyr Leu Asn Leu Ser Ser Asn Asn Phe Lys Gly Lys Ile Pro Val Glu Leu Gly His Ile Ile Asn Leu Asp Lys Leu Asp Leu Ser Gly Asn Asn Phe Ser Gly Ser Ile Pro Leu Thr Leu Gly Asp Leu Glu His Leu Leu Ile Leu Asn Leu Ser Arg Asn His Leu Ser Gly Gln Leu Pro Ala Glu Phe Gly Asn Leu Arg Ser Ile 30 Gln Met Ile Asp Val Ser Phe Asn Leu Leu Ser Gly Val Ile Pro Thr Glu Leu Gly Gln Leu Gln Asn Leu Asn Ser Leu Ile Leu Asn Asn Asn . 35 Lys Leu His Gly Lys Ile Pro Asp Gln Leu Thr Asn Cys Phe Thr Leu 520° Val Asn Leu Asn Val Ser Phe Asn Asn Leu Ser Gly Ile Val Pro Pro Met Lys Asn Phe Ser Arg Phe Ala Pro Ala Ser Phe Val Gly Asn Pro 50 Tyr Leu Cys Gly Asn Trp Val Gly Ser Ile Cys Gly Pro Leu Pro Lys Ser Arg Val Phe Ser Arg Gly Ala Leu Ile Cys Ile Val Leu Gly Val

Ile Thr Leu Leu Cys Met Ile Phe Leu Ala Val Tyr Lys Ser Met Gln 600 5 Gln Lys Lys Ile Leu Gln Gly Ser Ser Lys Gln Ala Glu Gly Leu Thr Lys Leu Val Ile Leu His Met Asp Met Ala Ile His Thr Phe Asp Asp 630 Ile Met Arg Val Thr Glu Asn Leu Asn Glu Lys Phe Ile Gly Tyr 650 15 Gly Ala Ser Ser Thr Val Tyr Lys Cys Ala Leu Lys Ser Ser Arg Pro 665 20 Ile Ala Ile Lys Arg Leu Tyr Asn Gln Tyr Pro His Asn Leu Arg Glu 680 Phe Glu Thr Glu Leu Glu Thr Ile Gly Ser Ile Arg His Arg Asn Ile Val Ser Leu His Gly Tyr Ala Leu Ser Pro Thr Gly Asn Leu Leu Phe 30 Tyr Asp Tyr Met Glu Asn Gly Ser Leu Trp Asp Leu Leu His Gly Ser 35 Leu Lys Lys Val Lys Leu Asp Trp Glu Thr Arg Leu Lys Ile Ala Val 745 40 Gly Ala Ala Gln Gly Leu Ala Tyr Leu His His Asp Cys Thr Pro Arg Ile Ile His Arg Asp Ile Lys Ser Ser Asn Ile Leu Leu Asp Glu Asn Phe Glu Ala His Leu Ser Asp Phe Gly Ile Ala Lys Ser Ile Pro Ala Ser Lys Thr His Ala Ser Thr Tyr Val Leu Gly Thr Ile Gly Tyr Ile 55 Asp Pro Glu Tyr Ala Arg Thr Ser Arg Ile Asn Glu Lys Ser Asp Ile

Tyr Ser Phe Gly Ile Val Leu Leu Glu Leu Leu Thr Gly Lys Lys Ala Val Asp Asn Glu Ala Asn Leu His Gln Leu Ile Leu Ser Lys Ala Asp Asp Asn Thr Val Met Glu Ala Val Asp Pro Glu Val Thr Val Thr Cys Met Asp Leu Gly His Ile Arg Lys Thr Phe Gln Leu Ala Leu Leu Cys Thr Lys Arg Asn Pro Leu Glu Arg Pro Thr Met Leu Glu Val Ser Arg Val Leu Leu Ser Leu Val Pro Ser Leu Gln Val Ala Lys Lys Leu Pro Ser Leu Asp His Ser Thr Lys Lys Leu Gln Gln Glu Asn Glu Val Arg Asn Pro Asp Ala Glu Ala Ser Gln Trp Phe Val Gln Phe Arg Glu Val Ile Ser Lys Ser Ser Ile

Dated this SECOND day of JULY, 2002

The Australian National University Patent Attorneys for the Applicant:

F B RICE & CO

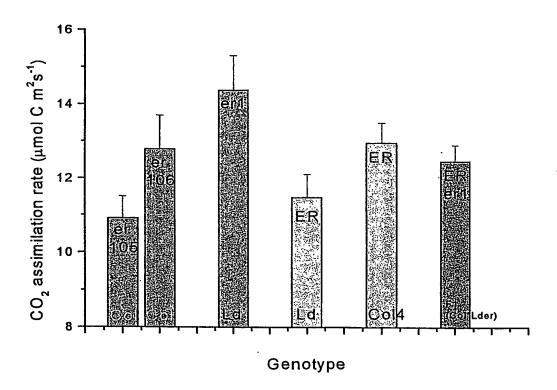


Figure 1a

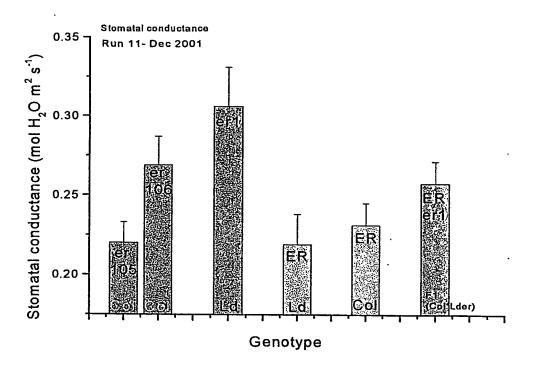


Figure 1b

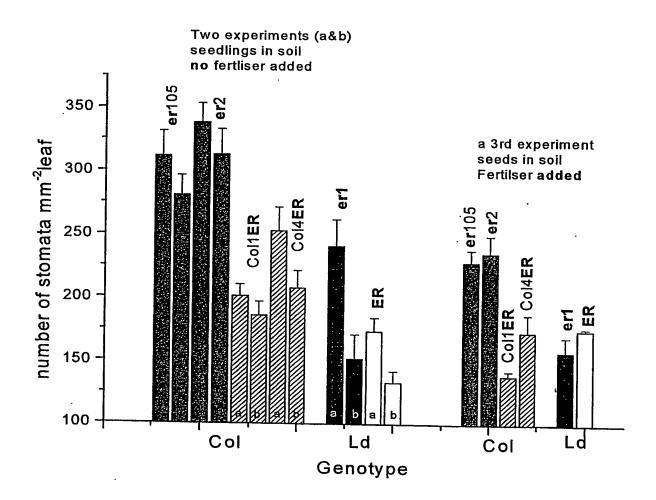


Figure 2a

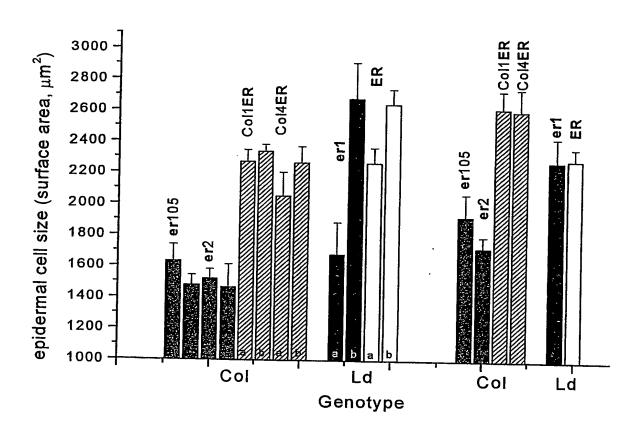


Figure 2b

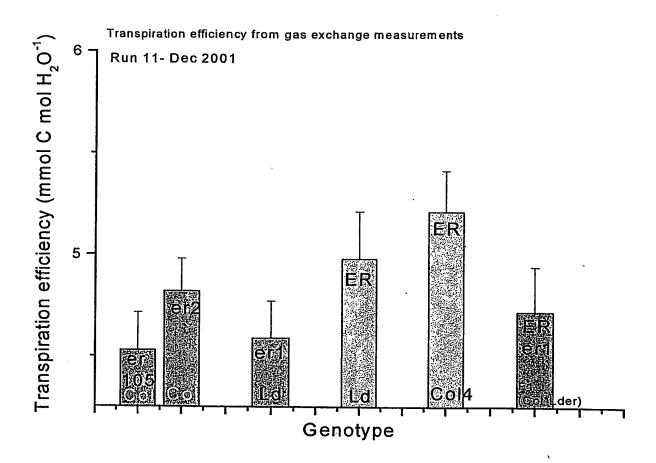


Figure 1c

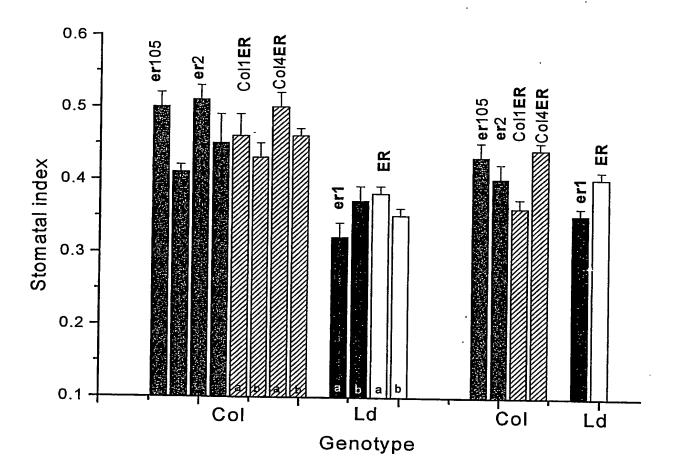


Figure 2c